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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 021069.3 <small>(U.S. APPLICATION NO. UNKNOWN. SEE 37 CFR 1.5)</small> 10/595959
INTERNATIONAL APPLICATION NO. PCT/US2005/009228	INTERNATIONAL FILING DATE 21 March 2005	PRIORITY DATE CLAIMED 19 March 2004
TITLE OF INVENTION CARBOHYDRATE-DERIVATIZED LIPOSOMES FOR TARGETING CELLULAR CARBOHYDRATE RECOGNITION DOMAINS OF CTL/CTL-LIKE LECTINS, AND INTRACELLULAR DELIVERY OF THERAPEUTICALLY ACTIVE COMPOUNDS		
APPLICANT(S) FOR DO/EO/US GIESELER, Robert K.; MARQUITAN, Guido; SCOLARO, Michael J.; SCHWARZ, Andreas		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included: <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Paper Copy of Sequence Listing 		

This collection of information is required by 37 CFR 1.414 and 1.491–1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP9/Rec'd PCT/PTO 19 SEP 2006

U.S. APPLICATION NO. (if known) SEE 37 CFR 1.5) 10/593355		INTERNATIONAL APPLICATION NO. PCT/US2005/009228		ATTORNEY'S DOCKET NUMBER 021069.3	
The following fees are submitted:					
21.	<input checked="" type="checkbox"/> Basic national fee	\$300.00		\$ 300.00	
22.	<input checked="" type="checkbox"/> Examination fee				
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33 (1)-(4)				\$0.00	
All other situations				\$200.00	\$200.00
23.	<input checked="" type="checkbox"/> Search fee				
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33 (1)-(4)				\$0	\$100.00
Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority				\$100.00	
International Search Report prepared and provided to the Office				\$400.00	
All other situations				\$600.00	
TOTAL OF 21, 22 and 23 =				\$600.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
150 - 100 =	50 /50 =	1		x \$250.00	\$ 250.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims		53 - 20 =	33	X \$50.00	\$1650.00
Independent claims		X- 3 =	5	X \$200.00	\$1000.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$000.00
TOTAL OF ABOVE CALCULATIONS =				\$3500.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					
				SUBTOTAL =	\$1750.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$ 0.00	
				TOTAL NATIONAL FEE =	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 0.00	
				TOTAL FEES ENCLOSED =	\$1750.00
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/>	A check in the amount of \$ 1750.00 cover the above fees is enclosed.				
b. <input type="checkbox"/>	Please charge my Deposit Account No _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4365. A duplicate copy of this sheet is enclosed.				
d. <input type="checkbox"/>	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
Send Correspondence to" Marianne Fuierer Moore & Van Allen PLLC P.O. Box 13706 Research Triangle Park, NC 27707					
 <u>Marianne Fuierer</u> NAME 39983 REGISTRATION NUMBER					

10/593355
IAP9/Rec'd PCT/PTO 19 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:) Docket No.: 021069.3
Applicant(s): GIESELER, Robert, et al.) Examiner NA
Application No.: New U.S. National Stage Application of PCT International Application No. PCT/US2005/009228) Art Unit: NA
Int'l Filing Date: 21 March 2005) Customer No.:)
Priority Date: 19 March 2004))
Title: CARBOHYDRATE-DERIVATIZED LIPOSOMES FOR TARGETING CELLULAR CARBOHYDRATE RECOGNITION DOMAINS OF CTL/CTLD LECTINS, AND INTRACELLULAR DELIVERY OF THERAPEUTICALLY ACTIVE COMPOUNDS) 24239)

EXPRESS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 and Express Mailed under the provisions of 37 CFR 1.10.



Brian Coley

September 19, 2006

Date

EV 692088648 US

Express Mail Label Number

SUBMISSION UNDER 35 U.S.C. §371 OF UNITED STATES PATENT APPLICATION (NATIONAL PHASE PROCEEDINGS) BASED ON INTERNATIONAL APPLICATION NO. PCT/US2005/009228 AND CLAIMING PRIORITY OF US PROVISIONAL PATENT APPLICATION NO. 60/554,790

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

10/593355
IAP9/Rec'd PCT/PTO 19 SEP 2006

Sir:

Submitted herewith for filing under the provisions of 37 CFR §1.53 and 35 U.S.C. §371 is the above-referenced patent application, based on International Patent Application No. PCT/US2005/009228 and claiming priority of U.S. Provisional Patent Application No. 60/554,790. A copy of the PCT International application, International Search Report, a Preliminary Amendment, Sequence listing, computer readable disk, Statement of Identity, return postcard, a check in the amount of \$1750.00 and 35 U.S.C. §371 transmittal forms are included herewith.

Please direct correspondence relating to this application to Marianne Fuierer, Moore & Van Allen, PLLC, P. O. Box 13706, Research Triangle Park, NC 27709, and direct telephonic communications relating to this application to Marianne Fuierer at (919) 286-8089.

Respectfully submitted,


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